

Topological Entropy and pressure.

September 23, 2017 10:40 AM

Let φ be a continuous function on a topological space X ,

$T: X \rightarrow X$ - continuous. Define

$$S_n \varphi(x) := \sum_{k=0}^{n-1} \varphi(T^k x) - \text{sum of } \varphi \text{ along a trajectory.}$$

Now let $X = C_A$ for an aperiodic A ,

$T = T_A$.

Let $\varphi_C := \sup \{ \varphi(x) : x \in C \}$ for a cylinder C .

Thm. $P(\varphi) := \lim_{n \rightarrow \infty} \frac{1}{n} \log \left(\sum_{C \in \mathcal{A}_n} e^{(S_n \varphi)_C} \right)$ exists.

It is called the pressure of φ .

Pf. Let

$$u_n := \sum_{C \in \mathcal{A}_n} e^{(S_n \varphi)_C}. \text{ Then}$$

$$u_{n+m} = \sum_{\substack{A \in \mathcal{A}_n \\ B \in \mathcal{A}_m}} e^{(S_{n+m} \varphi)_{AB}} \leq \sum_{\substack{A \in \mathcal{A}_n \\ B \in \mathcal{A}_m}} e^{(S_n \varphi)_A + (S_m \varphi)_B} =$$

$$\left(\sum_{A \in \mathcal{A}_n} e^{(S_n \varphi)_A} \right) \left(\sum_{B \in \mathcal{A}_m} e^{(S_m \varphi)_B} \right) = u_n u_m. \text{ So}$$

$\log u_{n+m} \leq \log u_n + \log u_m$. So $P(\varphi) = \lim_{n \rightarrow \infty} \frac{1}{n} \log u_n$ exists. \blacksquare

In the special case $\varphi=0$, $P(\varphi)$ is called a topological entropy of (X, T) . For the full shift,

$$h_{\text{top}}(T) = \lim_{n \rightarrow \infty} \frac{1}{n} \log b^n = \log b.$$

For a subshift defined by an A ,

$$h_{\text{top}}(T_A) = \lim_{n \rightarrow \infty} \frac{1}{n} \log (\# \{ C \in \mathcal{A}_n : C \cap C_A \neq \emptyset \}) = \lim_{n \rightarrow \infty} \frac{1}{n} \log N_n^b(C_A) = \log r(A).$$

We already made this calculation! ($r(A)$ is the spectral radius of A).

Thm (The first half of the variational principle).

$$P(\varphi) \geq \sup_{\substack{\mu \text{-probability} \\ T\text{-invariant}}} \left(h_\mu(T) + \int \varphi d\mu \right).$$

Remark

There is equality, reached exactly for Gibbs measures at least for "nice" φ . In particular,

$$h_{\text{top}} = \sup_{\mu \text{-invariant}} h_\mu(T)$$

The proof is based on the following inequality:

Let $p_1 + \dots + p_n = 1$, $p_j \geq 0$, $a_j \in \mathbb{R}$, $j \leq n$. Then

$$\sum p_j (a_j - \log p_j) \leq \log \sum_{j=1}^n e^{a_j}.$$

$$\text{Equality} \Leftrightarrow p_j = \frac{e^{a_j}}{\sum e^{a_j}}$$

Proven by Lagrange multipliers/induction on n .

Pt of the variational principle.

Let us index p by $A \in \mathcal{A}_n$.

$p_A := \mu(A)$, $a_A = (S_n \varphi)_A$. Then

$$\sum_{A \in \mathcal{A}_n} \mu(A) \left((S_n \varphi)_A - \log \mu(A) \right) \leq \log \sum_{A \in \mathcal{A}_n} e^{(S_n \varphi)_A}$$

$\stackrel{0 \approx}{\sim} \frac{h_n(\mu)}{n} + \frac{1}{n} \sum_{A \in \mathcal{A}_n} \mu(A) (S_n \varphi)_A \leq \frac{1}{n} \log \sum_{A \in \mathcal{A}_n} e^{(S_n \varphi)_A}$

RHS $\xrightarrow{h \rightarrow \infty} P(\varphi)$ (by def.)

$$\frac{h_n(\mu)}{n} \rightarrow h(\mu).$$

$$\sum_{A \in \mathcal{A}_n} \mu(A) (S_n \varphi)_A \stackrel{\text{min. var.}}{\geq} \int_{X_B} (S_n \varphi) d\mu \stackrel{\mu \text{ is } T \text{ invariant}}{=} n \int_{X_1} \varphi d\mu$$